UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 00,472)

To be Assigned

IN THE APPLICATION OF:

Puthiyandyil et al.

Serial No.

09/850,196

May 7, 2001

Title For L2TP Tunnels

PPP Data Compression Offloading

Group Art Unit: Confirmation No.:

Examiner:

2152 1245

INFORMATION DISCLOSURE STATEMENT

**BOX DD** Commissioner for Patents

Washington, D.C. 20231-9999

Dear Sir:

Filed:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the

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references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

### **CITED REFERENCES**

#### **U.S. Patent Documents**

| Document<br>Number | Date       | Name            | Class | Sub<br>Class | Filing Date of App. |
|--------------------|------------|-----------------|-------|--------------|---------------------|
| 5,528,595          | 06/18/1996 | Walsh et al.    | 370   | 85.13        | 11/14/1995          |
| 6,151,636          | 11/21/2000 | Schuster et al. | 709   | 247          | 02/05/1998          |
| 6,170,075 B1       | 02/02/2001 | Schuster et al. | 714   | 776          | 12/18/1997          |

### **Other Documents**

### Citation

W. Simpson, "The Point-to-Point Protocol (PPP)", Network Working Group, RFC 1661, July 1994, pages i-11.

D. Rand, "The PPP Compression Control Protocol (CCP)", Network Working Group, RFC 1962, pages 1-9.

Friend et al., "PPP Stac LZS Compression Protocol", Network Working Group, RFC 1974, August 1996, pages 1-20.

- D. Rand, "PPP Predictor Compression Protocol", Network Working Group, RFC 1978, August 1996, pages 1-9.
- J. Woods, "PPP Deflate Protocol", Network Working Group, RFC 1979, August 1996, pages 1-10.

Sklower et al., "The PPP Multilink Protocol (MP)", Network Working Group, RFC 1990, August 1996, pages 1-24.

G. Pall, "Microsoft Point-to-Point Compression (MPPC) Protocol", Network Working Group, RFC 2118, March 1997, pages 1-9.

Rigney et al., "Remote Authentication Dial In Use Service (RADIUS)", Network Working Group, RFC 2138, April 1997, pages 1-23.

## Citation

Valencia et al., "Cisco Layer Two Forwarding (Protocol) "L2F", Network Working Group, RFC 2341, May 1998, pages 1-29.

D. McDonald, "PF\_KEY Key Management API, Version 2", Network Working Group, RFC 2367, July 1998, pages 1-68.

Townsley et al., "Layer Two Tunneling Protocol "L2TP", Network Working Group, RFC 2661, August 1999, pages 1-20.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: August 13, 2001

By:

Monika Dudek Registration No. 47,538

| Form PTO-1449 (modified)                      |             | Atty. Docket No. 00,472     | Serial No. 09/850,176   |                   |
|---|-------------|-----------------------------|-------------------------|-------------------|
| List of Patents and Publications for          | Applicant's | Applicant                   |                         | MEC               |
| OTP E INFORMATION DISCLOSURE S                | TATEMENT    | Puthiyandyil et al.         |                         | AUG T             |
| NIG 1 5 2001 (Use several sheets if necessary |             | Filing Date:<br>May 7, 2001 | Group: 2152             | Chnology o        |
| U.S. Patent Documents See Page 1              | _           | Patent Documents See Page 2 | Other Art<br>See Page 2 | technology Center |

# **U.S. Patent Documents**

| Exam.<br>Init. | Ref.<br>Des. | Document<br>Number | Date       | Name            | Class | Sub<br>Class | Filing Date of App. |
|----------------|--------------|--------------------|------------|-----------------|-------|--------------|---------------------|
|                | Al           | 5,528,595          | 06/18/1996 | Walsh et al.    | 370   | 85.13        | 11/14/1995          |
|                | A2           | 6,151,636          | 11/21/2000 | Schuster et al. | 709   | 247          | 02/05/1998          |
|                | A3           | 6,170,075 B1       | 02/02/2001 | Schuster et al. | 714   | 776          | 12/18/1997          |
|                | A4           |                    |            |                 |       |              |                     |
|                | A5           |                    |            |                 |       |              |                     |
|                | A6           |                    |            |                 |       |              |                     |
|                | A7           |                    |            |                 |       |              |                     |
|                | A8           |                    |            |                 |       |              |                     |
|                | A9           |                    |            |                 |       |              |                     |
|                | A10          |                    |            |                 |       |              |                     |
|                | A11          |                    |            |                 |       |              |                     |
|                | A12          |                    |            |                 |       |              |                     |
|                | A13          |                    |            |                 |       |              | 1100                |
|                | A14          |                    |            |                 |       |              |                     |
|                | A15          |                    |            |                 |       |              |                     |
|                | A16          | -                  |            |                 |       |              |                     |
|                | A17          |                    |            |                 |       |              |                     |
|                | A18          |                    |            |                 |       |              |                     |
|                | A19          |                    |            |                 |       |              | ,                   |
|                | A20          |                    |            |                 |       |              |                     |
|                | A21          |                    |            |                 |       |              |                     |
|                | A22          |                    |            |                 |       |              |                     |
|                | A23          |                    |            |                 |       |              |                     |

| Examiner: | DATE CONSIDERED: |
|-----------|------------------|

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



### UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 00-472)

| IN THE APPL | ICATION OF:                                      |                   |                |
|-------------|--|-------------------|----------------|
| Puth        | iyandyil et al.                                  |                   |                |
| Serial No.  | 09/850,176                                       | Examiner:         | To be Assigned |
| Filed:      | May 7, 2001                                      | Group Art Unit:   | 2152           |
| Title       | PPP Data Compression Offloading For L2TP Tunnels | Confirmation No.: | 1245           |

**BOX DD** 

Commissioner for Patents Washington, D.C. 20231

Sir:

#### TRANSMITTAL LETTER

In regard to the above identified application:

- 1. We are transmitting herewith the attached:
  - a. Information Disclosure Statement;
  - b. Form PTO-1449;
  - c. Copy of IDS Citations 09/850,176 (14 references);
  - d. Return Receipt Postcard.
- 2. With respect to additional fees:
  - No additional fee is required.
- 3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, Washington, D.C. 20231 on this 13<sup>th</sup> day of August, 2001.

By.

Monika Dudek

Reg. No. 47,538

| <u> </u>     |   |                                      |                                      |                       |                              |                         | Page 2 of 3    |
|--------------|---|--------------------------------------|--------------------------------------|-----------------------|------------------------------|-------------------------|----------------|
| Form P       | Form PTO-1449 (modified)                    |                                      |                                      | Atty. Docke<br>00,472 | t No.                        | Serial<br>09/850        |                |
| E vize       |   | d Publications for<br>N DISCLOSURE S |                                      | Applicant Puthiyandyi | l et al.                     |                         | AUG ,          |
| (2 mm, 19)   | (Use several sheets if necessary)           |                                      | Filing Date: Group: May 7, 2001 2152 |                       | o: Technology Contact Page 2 |                         |                |
| TRADIMENT U. | U.S. Patent Documents Foreign I  See Page 1 |                                      | Patent Docume<br>See Page 2          | ents                  |                              | other Art<br>see Page 2 |                |
|              |   |                                      | U.S. Pate                            | ent Docum             | nents                        |                         |                |
| Exam.        | Ref.  | Document<br>Number                   | Date                                 | Name                  | Class                        | Sub                     | Filing Date of |

| Exam.<br>Init. | Ref.<br>Des. | Document<br>Number | Date | Name | Class     | Sub<br>Class | Filing Date of<br>App. |
|----------------|--------------|--------------------|------|------|-----------|--------------|------------------------|
|                | A24          |                    |      |      |           |              |                        |
|                | A25          |                    |      |      |           |              |                        |
|                | A26          |                    |      |      |           |              |                        |
|                | A27          |                    |      |      | , , , , , |              | <u> </u>               |

# **Foreign Patent Documents**

| Exam.<br>Init. | Ref.<br>Des. | Document<br>Number | Date | Country | Class | Sub<br>Class | Translation<br>Yes/No |
|----------------|--------------|--------------------|------|---------|-------|--------------|-----------------------|
|                | B1           |                    |      |         |       |              |                       |
|                | B2           |                    |      |         |       |              |                       |
|                | В3           |                    |      |         |       |              |                       |
|                | B4           |                    |      |         |       |              |                       |
|                | B5           |                    |      |         |       |              |                       |

# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam.<br>Init. | Ref.<br>Des. | Citation  |
|----------------|--------------|---|
|                | Cl           | W. Simpson, "The Point-to-Point Protocol (PPP)", Network Working Group, RFC 1661, July 1994, pages i-11.      |
|                | C2           | D. Rand, "The PPP Compression Control Protocol (CCP)", Network Working Group, RFC 1962, pages 1-9.            |
|                | C3           | Friend et al., "PPP Stac LZS Compression Protocol", Network Working Group, RFC 1974, August 1996, pages 1-20. |
|                | C4           | D. Rand, "PPP Predictor Compression Protocol", Network Working Group, RFC 1978, August 1996, pages 1-9.       |
|                | C5           | J. Woods, "PPP Deflate Protocol", Network Working Group, RFC 1979, August 1996, pages 1-10.                   |

**EXAMINER:** 

**DATE CONSIDERED:** 

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| Form PTO-1449 (modified)   |             | Atty. Docket No. 00,472     | Serial No.<br>09/850,176 |
|--|-------------|-----------------------------|--------------------------|
| Etist of Patents and Publications for  | Applicant's | Applicant                   | <del>- 11 - 1</del>      |
| (Use several sheets if necessary)  8 TRADENTE U.S. Patent Documents  Foreign |             | Puthiyandyil et al.         |                          |
|  |             | Filing Date:<br>May 7, 2001 | Group:                   |
| U.S. Patent Documents  | Foreign     | Patent Documents            | Other Art See Page 2     |
| See Page 1   | See Page 2  |                             | See Page 2               |

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| Exam.<br>Init. | Ref.<br>Des. | Citation  |
|----------------|--------------|---|
|                | C6           | Sklower et al., "The PPP Multilink Protocol (MP)", Network Working Group, RFC 1990, August 1996, pages 1-24.                  |
|                | C7           | G. Pall, "Microsoft Point-to-Point Compression (MPPC) Protocol", Network Working Group, RFC 2118, March 1997, pages 1-9.      |
| ·              | C8           | Rigney et al., "Remote Authentication Dial In Use Service (RADIUS)", Network Working Group, RFC 2138, April 1997, pages 1-23. |
|                | С9           | Valencia et al., "Cisco Layer Two Forwarding (Protocol) "L2F", Network Working Group, RFC 2341, May 1998, pages 1-29.         |
|                | C10          | D. McDonald, "PF_KEY Key Management API, Version 2", Network Working Group, RFC 2367, July 1998, pages 1-68.                  |
|                | C11          | Townsley et al., "Layer Two Tunneling Protocol "L2TP", Network Working Group, RFC 2661, August 1999, pages 1-20.              |
|                | C12          |   |
|                | C13          |   |
|                | C14          |   |
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|                | C16          | ·.  |
|                | C17          |   |
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|                | C20          |   |

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